

**Amendments to
National Instrument 31-102 National Registration Database**

1. ***National Instrument 31-102 National Registration Database is amended by this Instrument.***
2. ***The table of contents is amended by adding the following after “4.3 Payment of NRD User Fees- Annual”***
 - 4.4 Payment of Late Filing Fees
 - 4.5 Exemption for Registrants not Resident in Canada
3. ***Section 2.1 is amended by adding the following after paragraph 4:***
 5. Form 33-109F5;
 6. Form 33-109F7.
4. ***Section 3.2(e) is amended by adding “, telephone number, or e-mail address” after “change in the name”.***
5. ***Part 4 is amended by added the following after section 4.3(2):***
 - 4.4 Payment of Late Filing Fees
 - (1) If a firm filer is required to pay late filing fees because of an activity that creates a submission on NRD, the firm filer must pay the required fee by electronic pre-authorized debit through NRD.
 - (2) A payment under subsection (1) must be made from the firm filer's NRD account.
 - 4.5 Exemption for Registrants not Resident in Canada - Sections 3.2(c), 4.1, 4.2, 4.3 and 4.4 do not apply to a registered firm that
 - (a) has no business office in a jurisdiction in Canada,
 - (b) does not have an account with a member of the Canadian Payments Association,
 - (c) is not an affiliate of a registered firm resident in a jurisdiction in Canada, and
 - (d) pays the fees referred to in sections 4.1 to 4.4 to the NRD administrator within 10 business days of the date the payment is due.

5. *Part 7 is repealed.*
6. *This Instrument comes into force on •.*